

Rollover Statement

USW Industry 401(k) Plan

Plan Number: 60005

THIS FORM IS USED TO ROLLOVER FUNDS FROM A PRIOR QUALIFIED PLAN

Please follow the instructions below to perform a rollover from your previous plan. If you have any questions regarding the instructions, please contact a rollover specialist at Empower at 1-800-743-5274.

Step 1: Contact your prior plan administrator for instructions on how to process a distribution from your prior plan.

Step 2: Provide instructions given below on how to make the check payable.

Step 3: Complete this Rollover Statement form, including the Investment Election, on the reverse side.

Step 4: Once you receive the check from your prior plan, mail it along with the Rollover Statement to Empower.

The address is located at the bottom of this form.

Name (*first, middle, last*): _____

Address: _____

street

city

state

zip

Email Address: _____ Birth Date: _____ / _____ / _____

mo day yr

Telephone No.: _____ Hire Date: _____ / _____ / _____

mo day yr

Social Security No.: _____ - _____ - _____

Marital Status: Married Not Married

Employer Name: _____

Rollover Information

Amount of Rollover Contribution: Taxable portion (include investment income) \$ _____

Nontaxable (e.g., participant after-tax contributions) \$ _____

Total Rollover Contribution \$ _____

[Note: Rollovers cannot include required minimum distributions. Participant after-tax contributions can only be included in a direct rollover.]

Payment: Check attached made payable to Reliance Trust Co. as custodian. Include your social security and the Plan Number on the check. The Plan Number is listed at the top of the form (60005). The SSN is the individual's account number.

Wire or check sent separately to Reliance Trust Co. as custodian by the Prior Plan. *(For wiring instruction please contact Empower.)*

Investors should consider the impact of transfer fees, the loss of vested benefits and/or surrender charges that may be imposed by their current plan when funds are rolled over.

Mail to: Empower, PO Box 219062, Kansas City, MO 64121-9062

Complete reverse side. ►

Rollover Statement (continued)

USW Industry 401(k) Plan

Plan Number: 60005

Investment Selection

The Rollover Contributions will be invested in a separate manner from any other contributions to the Plan.

Option 1 – Age Based

Options: Please pick one option from the list below based on your target retirement date.

Vanguard Target Retirement Income Fund	_____ %
Vanguard Target Retirement 2015 Fund	_____ %
Vanguard Target Retirement 2020 Fund	_____ %
Vanguard Target Retirement 2025 Fund	_____ %
Vanguard Target Retirement 2030 Fund	_____ %
Vanguard Target Retirement 2035 Fund	_____ %
Vanguard Target Retirement 2040 Fund	_____ %
Vanguard Target Retirement 2045 Fund	_____ %
Vanguard Target Retirement 2050 Fund	_____ %
Vanguard Target Retirement 2055 Fund	_____ %
Vanguard Target Retirement 2060 Fund	_____ %
Vanguard Target Retirement 2065 Fund	_____ %
Total – 100%	

Option 2 – Create your own investment strategy: The minimum investment selection for any investment option is 1% and may be increased in increments of 1%. The sum of your elections must equal 100%.

Lower	Stable Value Fund	_____ %	
	Baird Core Plus Bond Fund	_____ %	
Risk/Return Potential ▲	Vanguard Total Bond Market Index Fund	_____ %	
	Vanguard Short Term Inflation-Protected Sec Fund	_____ %	
	Vanguard Extended Market Index Fund	_____ %	
	Vanguard Institutional Index Fund	_____ %	
	Vanguard Target Retirement Income Fund	_____ %	
	Vanguard Target Retirement 2015 Fund	_____ %	
	Vanguard Target Retirement 2020 Fund	_____ %	
	Vanguard Target Retirement 2025 Fund	_____ %	
	Vanguard Target Retirement 2030 Fund	_____ %	
	Vanguard Target Retirement 2035 Fund	_____ %	
	Vanguard Target Retirement 2040 Fund	_____ %	
	Vanguard Target Retirement 2045 Fund	_____ %	
	Vanguard Target Retirement 2050 Fund	_____ %	
	Vanguard Target Retirement 2055 Fund	_____ %	
	Vanguard Target Retirement 2060 Fund	_____ %	
	Vanguard Target Retirement 2065 Fund	_____ %	
	Higher	Champlain Mid Cap Fund	_____ %
		Aristotle Small Cap Equity Fund	_____ %
		Vanguard Total International Stock Index Fund	_____ %
		Gold Sachs GQG Prtnrs IntlOpsFund	_____ %
	Total – 100%		

A Target Date Fund may not achieve its objective and/or you could lose money on your investment in the fund. You may experience losses near, at, or after the target date. There is no guarantee of the fund's principal value, including at the target date, or that the fund will provide adequate income at and through your retirement.

For more complete information about each investment, including charges and expenses, we recommend that you read each investment's prospectus and investment profile carefully before investing. You can read and print copies for all of your plan's investment options at www.retiresmart.com/usw. You also may contact our Participant Information Center at 1-800-743-5274 between 8:00 a.m. and 8:00 p.m. ET, Monday through Friday to request a prospectus.

Signature

Participant _____

Date _____

Mail to: Empower, PO Box 219062, Kansas City, MO 64121-9062

Effective on December 31, 2020, Empower Retirement (Empower) acquired the Massachusetts Mutual Life Insurance Company's (MassMutual) retirement business. Through this transaction, business written by MassMutual is reinsured by Great-West Life & Annuity Insurance Company (GWLA) and in New York by Great-West Life & Annuity Insurance Company of New York. Concurrently, MassMutual retroceded business it reinsures from a cedent, which MassMutual assumed in a previous transaction. Empower administers the business on MassMutual's behalf, with certain administrative services being performed by MassMutual and its affiliates during a temporary transition period.

GWFS Equities, Inc., is the distributor of the MassMutual insurance products sold on Empower's platform. Empower Retirement refers to the products and services offered by GWLA and its subsidiaries. GWFS Equities is a subsidiary of GWLA and an affiliate of Empower Retirement, LLC; Great-West Funds, Inc.; and registered investment advisers Advised Assets Group, LLC and Personal Capital. Empower is not affiliated with MassMutual or its affiliates.