

Compliance White Paper Lookup

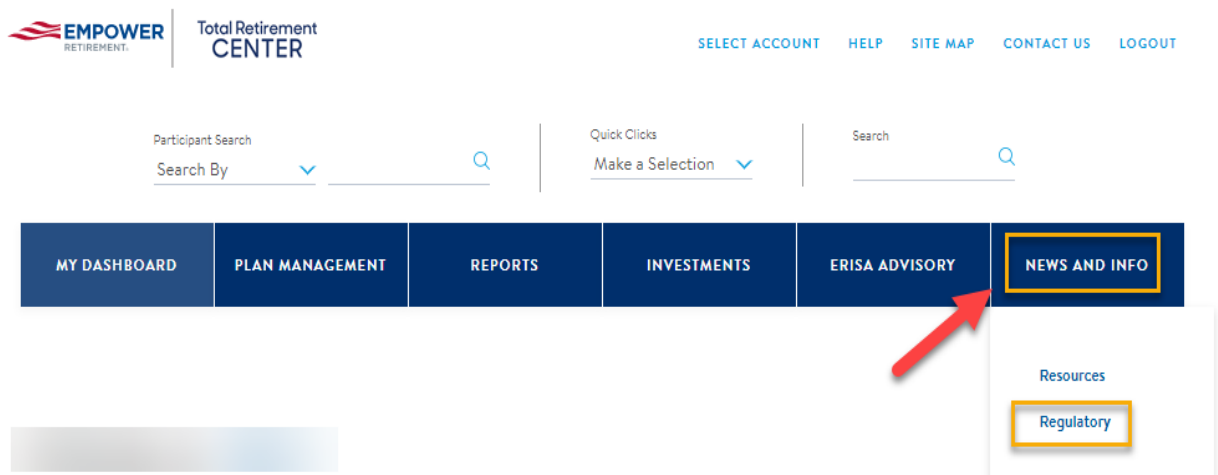
For use with the Total Retirement Center (TRC)

About the Compliance White Paper Process

This resource provides the steps to locate Compliance White Papers on the TRC. Compliance White Papers are designed to help ensure sponsors' plan(s) are in full compliance with all current IRS and DOL regulations, meets current market standards with respect to features and provisions, and assists sponsors to help meet their specific individual goals for their company retirement program.

How to Locate the Compliance White Papers

1. Hover over the **News and Info** tab and select **Regulatory** from the dropdown menu.



2. Once the page loads, select the **Compliance White Papers** [hyperlink](#).

Regulatory




3. Once the page loads, you will be able to select various Compliance White papers from the list:

Regulatory

Regulatory

Regulatory

Regulatory » Compliance White Papers

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- [PDF 2021 Qualified Plan Limits](#)
 - [PDF 403\(b\) Plan and Service Design](#)
 - [PDF 403\(b\) Plans: Pre-approved Documents, Code 415 Required Annual Aggregation Notices & Notice of Universal Availability](#)
 - [PDF 403\(b\) Restatement Regulatory Update](#)
 - [PDF A Plan Administrator's Primer on Forfeitures](#)
 - [PDF Affiliated Service Group Rules](#)
 - [PDF Answers to your Questions about Delinquent Contributions](#)
 - [PDF Catch-Up Contributions - Are Your Employees Missing Out?](#)
 - [PDF Changes to the EPCRS Program](#)
 - [PDF Common Mistakes in Eligibility](#)
 - [Correcting Elective Deferral and Employee Contribution Errors](#)
 - [PDF DC Mergers and Acquisitions Planning Guide](#)
 - [PDF Defined Contribution Partial Plan Terminations](#)
 - [PDF DOL Expands E-Delivery Options](#)
 - [Fiduciary Calendar](#)
 - [PDF Fiduciary Liability Insurance and ERISA Fidelity Bond](#)
 - [PDF Highly Compensated Employees](#)
 - [PDF IRS Eases Physical Presence Requirement for Spousal Consent](#)
 - [PDF Is your plan's interest rate for participant loans reasonable?](#)
 - [PDF Locating Missing Participants in a DC Plan](#)
 - [PDF Locating Missing Participants in Terminated DC Plans](#)
 - [PDF Maximizing the Tax Benefits of Your Retirement Account](#)
 - [PDF Military Service: Protection of Plan Benefits](#)
 - [PDF Minor Beneficiaries](#)

On December 31, 2020, Empower Retirement acquired the retirement business of Massachusetts Mutual Life Insurance Company. Following an initial transition period, Empower Retirement will become the sole administrator of this business. Empower Retirement refers to the products and services offered by Great-West Life & Annuity Insurance Company and its subsidiaries, including Empower Retirement, LLC. Empower Retirement is not affiliated with MassMutual or its affiliates.

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